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**Date** March 31, 2006 **Client-Matter #** 32715-2001  
**From** Matthew J. Marquardt **Direct Tel** 416.865.0040  
**Page(s)** 3 (including this cover page)

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United States Patent and Trademark Office	571.273.8300	

**Comments**

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Matthew J. Marquardt  
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March 31, 2006

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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

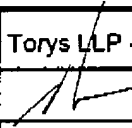
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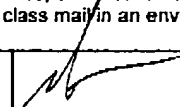
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/735,749	
	Filing Date	December 16, 2003	
	First Named Inventor	MONTELEONE, Leonard C.	
	Art Unit	3628	
	Examiner Name	SOUGH, Hyung Sub	
Total Number of Pages In This Submission	2	Attorney Docket Number	32715-2001

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

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Firm Name	Torys LLP - 33721		
Signature			
Printed name	Matthew J. Marquardt		
Date	March 31, 2006	Reg. No.	40,997

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	MARQUARDT, Matthew J.	Date	31 MARCH 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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32715-2001

PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

MONTELEONE, Leonard

Application No.: 10/735,749

Filed: December 16, 2003

For: SYSTEM AND METHOD FOR  
TRADING OF MORTGAGE  
BACKED SECURITIES

Examiner: SOUGH, Hyung Sub

Group Art Unit: 3628

Confirmation No.: 3359

Date Submitted: March 30, 2006


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**STATUS REQUEST**

Applicants respectfully inquire as to when an examination of the above-identified application can be expected. Applicants request that a response be directed to Applicants' attorney at the correspondence address indicated below.

Respectfully Submitted,



Matthew J. Marquardt  
Attorney for Applicants  
Registration No. 40,997

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